PTO/SB/21 (02-04) Approved for use through 07/31/2006 OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/829.137 TRANSMITTAL Filing Date April 21, 2004 **ढ़** FORM First Named Inventor Gevas et al. Art Unit (to be used for all correspondence after initial filing) unassigned Examiner Name unassigned Attorney Docket Number 15 ACG2BUSA Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information **Provisional Application** After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please **Terminal Disclaimer** Identify below): Extension of Time Request Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Customer No. 00270 Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm

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HOWSON AND HOWSON

Mary E. Bak

May E.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.

: 10/829,137

Confirmation No.: unassigned

Applicant

: Gevas et al.

Filed

: April 21, 2004

TC/A.U.

: unassigned

Examiner

: unassigned

Customer No.

: 00270

Title

: PREVENTION AND TREATMENT OF

HYPERGASTRINEMIA

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INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit to the Examiner the attached Form PTO/SB/08A/B document listing and this paper pursuant to 37 CFR § 1.56 and § 1.97-1.98. Form PTO/SB/08A/B and this paper identify documents previously cited in US Patent Application No. 09/700,329, filed February 8, 2001. Copies of documents BF and BBZ are attached.

According to 37 CFR § 1.98(d), Applicants have not enclosed copies of any US patents, publications, or other documents listed herein, which listed items were previously cited by or submitted to the US Patent and Trademark Office in prior parent

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: June 30, 2004
Signature Kelly A. Karstaedt

Kelly A. Karstaedt

US Patent Application No. 09/700,329, filed February 8, 2001. The prior parent application is relied upon herein for an earlier priority date under 35 USC § 120.

This Information Disclosure Statement is submitted less than three months from the filing date of this application and before the receipt of a first Office Action.

Therefore, no fees are believed due.

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account Number 08-3040.

REMARKS

Listed below are previously filed, and co-pending, US Patent applications. These applications and the present application are commonly owned:

- (a) U.S. Patent Application No. 10/314,057, filed December 6, 2002, U.S. Patent Publication No. US-2003-0068326-A1, published April 10, 2003 (item BI)
- (b) U.S. Patent Application No. 09/700,329, filed February 8, 2001

Listed below are documents cited in the International Search Report dated October 19, 1999 in the corresponding International Patent Publication No. WO-99/59631, published November 25, 1999. A copy of the Report is enclosed.

- (a) THORNDYKE et al., "Identification and localization of material with gastrin-like immunoreactivity in the neural ganglion of a protochordate, Ciona intestinalis", *Regulatory Peptides* **1986** 16:269-279
- (b) WATSON et al., "Gastrimmune raises antibodies that neutralize amidated and glycine-extended gastrin-17 and inhibit the growth of colon cancer", Cancer Res. February 15, 1996 56:880-885
- (c) WATSON et al., "Anti-gastrin antibodies raised by gastrimmune inhibit growth of the human colorectal tumour AP5", *Int. J. Cancer* **1995** 61:233-240
- (d) U.S. Patent No. 5,023,077, issued June 11, 1991
- (e) U.S. Patent No. 5,468,494, issued November 21, 1995

- (f) U.S. Patent No. 5,609,870, issued March 11, 1997
- (g) U.S. Patent No. 5,607,676, issued March 4, 1997
- (h) U.S. Patent No. 5,622,702, issued April 22, 1997

Listed below are documents cited in the International Search Report dated August 24, 1999 in the related International Patent Publication No. WO-99/59612, published November 25, 1999. A copy of the Report is enclosed.

- (a) U.S. Patent No. 5,468,494, issued November 21, 1995
- (b) U.S. Patent No. 5,609,870, issued March 11, 1997
- (c) U.S. Patent No. 5,023,077, issued June 11, 1991
- (d) BUDAVARI et al., The Merck Index (11th ed.), Rahway, New Jersey, Merck & Co., 1989, p. 1082

Listed below are documents cited in the Supplementary European Search Report dated January 22, 2003 in the related European Patent Application No. 99924252.2, filed May 14, 1999.

(a) HALTER et al., "Evaluation of a monoclonal anti-gastrin antibody as a tool for immunoneutralization of gastrin during omeprazole in treatment in a rat", Gastroenterology 1989 96(5):A194 [Abstract]

The month of publication for documents AAR, AAZ, BY, BZ, BBS, CV, CZ, CCR, CCS, CCX, DS, DX, EV, EW, EX, and EY could not be determined. The years of publication for these documents are sufficiently earlier than the effective US filing date and/or the foreign priority date of the present application so that the particular month of publication of the documents is not an issue (MPEP 609).

The Examiner is respectfully requested to consider the enclosed documents identified in this paper and in the attached Form PTO/SB/08A/B during the course of examination of this application.

Respectfully submitted,

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INF	INFORMATION DISCLOSURE			Filing Date	April 21, 2004	
STA	STATEMENT BY APPLICANT		First Named Inventor	Gevas et al.		
			Group Art Unit	unassigned		
(1	(Use as many sheets as necessary)		Examiner Name	unassigned		
Sheet	1	of	10	Attorney Docket Number	ACG2BUSA	

			U.S. PATENT DOCUM	MENTS	
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number-Kind Code ^{2(if known)}	MM-DD-1111	Document	Figures Appear
	AA	US-3,931,141	01-06-1976	Wissmann	
	AB	US-4,069,313	01-17-1978	Woodhour	
	AC	US-4,201,770	05-06-1980	Stevens	
	AD	US-4,384,995	05-24-1983	Stevens	
	AE	US-4,526,716	07-02-1985	Stevens	
	AF	US-4,565,805	01-21-1986	Smirnov	
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	AH	US-4,691,006	09-01-1987	Stevens	
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	AJ	US-5,023,077	06-11-1991	Gevas	
	AK	US-5,120,829	06-09-1992	Pierschbacher	
	BA	US-5,256,542	10-26-1993	Chang	

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
	AL	EP-380 230	08-01-1990	Gevas		
	AM	WO-97/28821	08-14-1997	Gevas		
	AN	WO-99/59628	11-25-1999	Gevas		

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				Group Art Unit	unassigned	
. ((Use as many sheets as necessary)		Examiner Name	unassigned		
Sheet	2	of	10	Attorney Docket Number	ACG2BUSA	

			U.S. PATENT DOCUM	IENTS	· · · · · · · · · · · · · · · · · · ·
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Initials*	No.1	Number-Kind Code ^{2(if known)}	MM-DD-YYYY	Document	Figures Appear
	BB	US-5,468,494	11-21-1995	Gevas	
	BC	US-5,607,676	03-04-1997	Gevas	
	BD	US-5,609,870	03-11-1997	Gevas	
	BE	US-5,622,702	04-22-1997	Gevas	
	BF	US-5,668,117	09-16-1997	Shapiro	
 	BG	US-5,785,970	07-28-1998	Gevas	
 	BH	US-5,866,128	02-02-1999	Gevas	
	BI	US-2003-0068326-A1	04-10-2003	Gevas	
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INF	INFORMATION DISCLOSURE			Filing Date	April 21, 2004	
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				Group Art Unit	unassigned	
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Sheet	3	of	10	Attorney Docket Number	ACG2BUSA	

		NONPATENT LITERATURE DOCUMENTS	
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	AR	ANTONSON et al., "High doses of histamine antagonists do not prevent relapses of	
		peptic esophagitis following therapy with a proton pump inhibitor", <i>Gastroenterology</i> May 1990 98(5/2):A16 [Abstract]	
	AS	ARCHAMBAULT et al., "Influence of immunomodulatory agents on bovine humoral and cellular immune responses to parenteral inoculation with bovine rotavirus vaccines", Vet. Microbiol. August 1988 17:323-334	
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	AV	BEACHAM et al., "Structures of human gastrins I and II", <i>Nature</i> February 1966 209(5023):583-586	
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	AY	BOWIE et al., "Deciphering the message in protein sequences: Tolerance to amino acid substitutions", <i>Science</i> March 1990 247:1306-1310	
	AZ	BRINTON et al., "Cancer risk following pernicious anaemia", Br. J. Cancer May 1989 59(5):810-813	
	AAR	BUDAVARI et al., The Merck Index (11th ed.), Rahway, New Jersey, Merck & Co., 1989 p. 1082	

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	AAS	CHOUDHURY et al., "N-Terminal sequence of human big gastrin: Sequence, synthetic and immunochemical studies", A76Hoppe-Seyler's Z. Physiol. Chem. November 1980 361:1719-1733	
	AAT	CUNNINGHAM et al., "High-resolution epitope mapping of hGH-receptor interactions by alanine-scanning mutagenesis", <i>Science</i> June 1989 244:1081-1085	
	AAU	DE MAGISTRIS et al., "A simple enzymatic procedure for radioimmunochemical quantitation of the large molecular forms of gastrin and cholecystokinin", <i>Analytical Biochem.</i> February 1980 102:126-133	
	AAV	DEMEESTER et al., "Patterns of gastroesophageal reflux in health and disease", Ann. Surg. October 1976 184(4):459-470	
	AAW	DEVAULT et al., "Current diagnosis and treatment of gastroesophageal reflux disease", Mayo Clin. Proc. September 1994 69(9):867-876	
	AAX	DOCKRAY et al., "Amino terminal gastrin fragment in serum of Zollinger-Ellison syndrome patients", <i>Gastroenterology</i> February 1975 68(2):222-230	
	AAY	DOCKRAY et al., "Heptadecapeptide gastrin: measurement in blood by specific radioimmunoassay", Gastroenterology December 1976 71(6):971-977	
	AAZ	DOCKRAY et al., "Immunochemical studies on big gastrin using NH2-terminal specific antiserums", Chemical Abstracts 1981 94:506-507 [Abstract# 94:119200w]	
	BR	DOCKRAY, G., "Immunochemical studies on big gastrin using NH2-terminal specific antisera", Regulatory Peptides December 1980 1:169-186	
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	ВТ	FESTEN et al., "Effect of oral omeprazole on serum gastrin and serum pepsinogen I levels", Gastroenterology November 1984 87(5):1030-1034	

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	BU	FLYNN et al., "Modulation of T-cell reactivity to synthetic peptide analogues of foot-	
		and-mouth disease virus in sheep by amino acid substitutions", Vet. Immunol.	
		Immunopath. March 1992 31:255-266	ļ
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		[Supplement]	
	BW	GEVAS et al., "Prevention and treatment of hypergastrinemia", U.S. Patent App. No.	
		09/700,329, filed February 8, 2001	
	BX	GREGORY et al., "Isolation of two gastrins from human antral mucosa", Nature	
		February 1966 209(5023):583	
	BY	HALTER et al., "Evaluation of a monoclonal anti-gastrin antibody as a tool for	
		immunoneutralization of gastrin during omeprazole in treatment in a rat",	
		Gastroenterology 1989 96(5):A194 [Abstract]	
	BZ	HOUGHTEN et al., "Relative importance of position and individual amino acid	
		residues in peptide antigen-antibody interactions: Implications in the mechanism of	
		antigenic drift and antigenic shift", Vaccines86 (Ed. F. Brown et al.) 1986 Cold Spring	1
		Harbor Laboratory: 21-25	ļ
	BBR	HUGHES et al., "Therapy with gastrin antibody in the Zollinger-Ellison Syndrome",	
_		Digestive Disease March 1976 21(3):201-204	
	BBS	IWANAGA et al., "Immunocytochemical localization of the different gastrin forms in	
		the pyloric antrum", Biomed. Res. 1980 1:316-320	<u> </u>
	BBT	JAFFE et al., "Gastrin resistance following immunization to the C-terminal	
		tetrapeptide amide of gastrin", Surgery February 1971 69(2):232-237	

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STA	STATEMENT BY APPLICANT			First Named Inventor	Gevas et al.		
				Group Art Unit	unassigned		
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Sheet	6	of	10	Attorney Docket Number	ACG2BUSA		

		NONPATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	BBU	JAFFE et al., "Inhibition of endogenous gastrin activity by antibodies to the carboxyl-terminal tetrapeptide amide of gastrin", <i>Gastroenterology</i> February 1970 58(2):151-156	
	BBV	JAFFE et al., "Inhibition of gastrin activity by incubation with antibodies to the C-terminal tetrapeptide of gastrin", Surgery April 1969 65(4):633-639	
	BBW	JANSEN et al., "Effect of long-term treatment with omeprazole on serum gastrin and serum group A and C pepsinogens in patients with reflux esophagitis", Gastroenterology September 1990 99(3):621-628	
	BBX	JONES et al., "Peptide vaccines derived from a malarial surface antigen: effects of dose and adjuvants on immunogenicity", <i>Immunology Letters</i> July 1990 24:253-260	
	BBY	KOTHARY et al., "NH2-terminal of gastrin-17 in duodenal ulcer disease: Identification of progastrin-17", <i>Biochem. Biophys. Res. Comm.</i> July 1987 146(2):884-888	
	BBZ	KUIPERS et al., "Atrophic gastritis and Helicobacter pylori infection in patients with reflux esophagitis treated with omeprazole or fundoplication", New. Eng. J. Med. April 1996 334(16):1018-1022	
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11 4	CS	LAMERS et al., "Role of gastrin and cholecystokinin in tumors of the gastrointestinal tract", Eur. J. Cancer Clin. Oncol. February 1988 24(2):267-273	
	CT	LAMOTE et al., "Stimulating effect of pentagastrin on cancer cell proliferation kinetics in chemically induced colon cancer in rats", Regulatory Peptides January 1988 20:1-9	
<u></u>	CU	LARSSON et al., "Characterization of antral gastrin cells with region-specific antisera", J. histochem. Cytochem. December 1977 25(12):1317-1321	

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	CV	LARSSON, L, "Histochemistry of gastrin cells", Neurohistochem.: Modern Meth. And App. 1986 Alan R. Liss, Inc.: 527-567	
	CW	MAKISHIMA et al., "Active immunization against gastrin-17 with an N-terminal derived immunogen inhibits gastric and duodenal lesions in rats", <i>Gastroenterology</i> April 1994 106(4/2):A824 [Abstract]	
	CX	MCCLOY et al., "Pathophysiological effects of long-term acid suppression in man", Dig. Dis. And Sciences February 1995 40(2):96S-120S [Supplement]	
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-	CZ	MORODER et al., "Gastrins and cholecystokinins: Chemical and immunological aspects", Gastrin and Cholecystokinin, Chem., Physio. And Pharma. (Ed. J. Bali et al.) 1987 Elsevier Science Publishers B.V.: 21-32	
	CCR	NEMETH et al., "A gastrin aminoterminalis 1-13 fragmensevel kidolgozott, szekvenciaspecifkus radioimmunoassay", <i>Izotoptechnika</i> 1982 25(4):288-294	
<u>.</u> v	CCS	NEMETH et al., "Development of a sequence-specific radioimmunoassay by using N-terminal gastrin 1-13 antibody", <i>Chemical Abstracts</i> 1983 98:495 [Abstract# 98:51653w]	
	CCT	PALMER SMITH et al., "Effects of gastrin, proglumide, and somatostatin on growth of human colon cancer", Gastroenterology December 1988 95(6):1541-1548	
N. 2017	CCU	PALMER SMITH et al., "Elevated gastrin levels in patients with colon cancer or adenomatous polyps", <i>Dig. Diseases. And Sci.</i> February 1989 34(2):171-174	
	CCV	PAWLIKOWSKI et al., "Gastrin and somatostatin levels in patients with gastric cancer", Horm. Metabol. Res. February 1989 21:89-91	

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Cite

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	CCX	RAE-VENTER et al., "Gastrin receptors in human colon carcinoma", Gastroenterology 1986 80(5/2):1256 [Abstract]	
	CCY	REHFELD et al., "Production and evaluation of antibodies for the radioimmunoassay of gastrin", Scnad. J. Clin. Lab. Invest. October 1972 30:221-232	
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	DR	SABESIN et al., "Famotidine relieves symptoms of gastroesophageal reflux disease and heals erosions and ulcerations", <i>Arch. Intern. Med.</i> December 1991 151(12):2394-2400	
	DS	SIEMANN, D., "Satisfactory and unsatisfactory tumor models: Factors influencing the selection of a tumor model for experimental evaluation", <i>Rodent Tumor Models in Experimental Cancer Therapy</i> (Ed. Kallman) 1987 Pergamon Press, NY: 12-15	
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,	DV	SUGANO et al., "Identification and characterization of glycine-extended post-translational processing intermediates of progastrin in porcine stomach", <i>J. Bio. Chem.</i> September 1986 260(21):11724-11729	
	DW	SUMII et al., "Regulation of antral peptides by administration of omeprazole to healthy men", Am. J. Gastroenterol. November 1994 89(11):2033-2037	

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	DX	SUNDLER et al., "The neuroendocrine system of the gutan update", <i>Acta Oncologica</i> 1991 30(4):419-427	_
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	DDU	VARNDELL et al., "Intracellular topography of immunoreactive gastrin demonstrated	
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		1996 56:880-885	

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	DDZ	WATSON et al., "Growth-promoting action of gastrin on human colonic and gastric tumour cells cultured in vitro", <i>Br. J. Surg.</i> April 1988 75(4):342-345 [Abstract]	
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